

# CHOW GROUPS OF 0-CYCLES WITH MODULUS AND HIGHER DIMENSIONAL CLASS FIELD THEORY

Following Kerz-Saito, we introduce the Chow group of 0-cycles with modulus, relate it to the Wiesend idele class group, and show the existence of a canonical reciprocity map on the Chow group with modulus, with values in the abelianization of the fundamental group.

## § 1. CHOW GROUPS WITH MODULUS

We define the Chow group of 0-cycles with modulus.

**1.1.** Let  $X$  be a scheme over finite type over a perfect field  $k$  of characteristic  $p > 0$ . Let  $j : X \hookrightarrow \bar{X}$  be an open immersion into a proper normal scheme  $\bar{X}$  over  $k$ , such that the complement  $\bar{X} - X$  is the support of an effective Cartier divisor  $C \in \text{Div}^+(\bar{X})$ . We will refer to such a datum  $(X, \bar{X}, j)$  as a *compactified pair* over  $k$ .

**1.2.** Let  $(X, \bar{X})$  be a compactified pair over  $k$ , and let  $Z \subset \bar{X}$  be a one-dimensional integral closed subscheme not contained in  $|C|$ . We will write  $\psi_Z : Z^N \rightarrow Z$  for the normalization and

$$Z_\infty := \psi_N^{-1}(Z \cap |C|)$$

for the fibre. For a point  $y \in Z_\infty$ , we will write  $k(Z)_y$  for the henselization of  $k(Z)$  at  $y$ . We will write

$$k(Z)_\infty^\times := \prod_{y \in Z_\infty} k(Z)_y^\times.$$

In the sequel we will abuse notation by writing simply  $Z \subset \bar{X}$  to mean that  $Z$  is as above.

**1.3.** Let  $(X, \bar{X})$  be a compactified pair. Let  $D \in \text{Div}^+(\bar{X})$  be an effective Cartier divisor with  $|D| \subset \bar{X} - X$ . For  $Z \subset \bar{X}$ , consider the canonical homomorphism

$$k(Z)^\times \longrightarrow \prod_{y \in Z_\infty} k(Z)_y^\times / (1 + \mathcal{I}_D \mathcal{O}_{Z^N, y})$$

where  $\mathcal{I}_D = \mathcal{O}_{\bar{X}}(-D)$  denotes the ideal sheaf of  $D$ . We write  $k(Z)_D^\times$  for the kernel of this homomorphism.

**1.4. *Definition.*** — Let  $(X, \bar{X})$  and  $D$  be as above. Consider the canonical homomorphism of abelian groups

$$\delta_{X, \bar{X}, D} : \bigoplus_{Z \subset \bar{X}} k(Z)_D^\times \longrightarrow Z_0(X)$$

which sends an invertible rational function  $f \in k(Z)_D^\times$  to the 0-cycle

$$j_* \operatorname{div}(f|_{Z \cap X})$$

where  $j : Z \cap X \hookrightarrow X$  denotes the inclusion. The *Chow group of 0-cycles with modulus D* of the pair  $(X, \bar{X})$  is defined as the cokernel of  $\delta_{X, \bar{X}, D}$ , and is denoted

$$\operatorname{CH}_0(X, \bar{X}|D).$$

**1.5. Definition.** — Let  $(X, \bar{X})$  be a compactified pair over  $k$ . The *Chow group of 0-cycles with modulus* is defined as the projective limit

$$\operatorname{CH}_0^c(X, \bar{X}) := \varprojlim_D \operatorname{CH}_0(X, \bar{X}|D)$$

over the codirected set of divisors  $D \in \operatorname{Div}^+(\bar{X})$  with  $|D| \subset \bar{X} - X$ . This projective limit is taken in the category of topological abelian groups, with each of the groups  $\operatorname{CH}_0(X, \bar{X}|D)$  endowed with the discrete topology.

## § 2. THE WIESEND CLASS GROUP

We recall the definition of the Wiesend idele class group and identify a quotient of it with the Chow group with modulus.

**2.1. Definition.** — Let  $X$  be a scheme of finite type over  $k$ . Recall that the *Wiesend class group*  $W(X)$  is defined as the cokernel of the homomorphism of abelian groups

$$\bigoplus_{Z \subset X} k(Z)^\times \longrightarrow Z_0(X) \oplus \bigoplus_{Z \subset X} k(Z)_\infty^\times$$

induced by

$$\delta : \bigoplus_{Z \subset X} k(Z)^\times \longrightarrow Z_0(X)$$

as defined in 1.4, and the canonical homomorphism

$$\bigoplus_{Z \subset X} k(Z)^\times \longrightarrow \bigoplus_{Z \subset X} k(Z)_\infty^\times.$$

**2.2.** Let  $(X, \bar{X})$  be a compactified pair over  $k$  and  $D \in \operatorname{Div}^+(\bar{X})$  with  $|D| \subset |C|$ . For  $Z \subset \bar{X}$ , consider the subgroup of  $k(Z)_\infty^\times$  generated by

$$1 + \mathcal{I}_D \mathcal{O}_{Z^N, Z \cap |C|}^h,$$

where  $\mathcal{O}_{Z^\infty, Z \cap |C|}^h$  denotes the product of the henselian local rings  $\mathcal{O}_{Z,y}^h$  for  $y \in Z_\infty$ . Let

$$\hat{F}^{(D)}W(X, \bar{X}) \subset W(X)$$

denote the image of the direct sums of these subgroups by the canonical homomorphism

$$\bigoplus_{Z \subset X} k(Z)_\infty^\times \longrightarrow W(X).$$

**2.3. Lemma.** — *Let  $(X, \bar{X})$  be a compactified pair over  $k$  and  $D \in \text{Div}^+(\bar{X})$  with  $|D| \subset |C|$ . There is a canonical isomorphism*

$$\text{CH}_0(X, \bar{X}|D) \xrightarrow{\sim} W(X)/\hat{F}^{(D)}W(X, \bar{X}).$$

*Proof.* — Consider the diagram of abelian groups with exact rows

$$\begin{array}{ccccccc} \bigoplus_{Z \subset \bar{X}} k(Z)_D^\times & \longrightarrow & Z_0(X) & \longrightarrow & \text{CH}_0(X, \bar{X}|D) & \longrightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow & & \\ \bigoplus_{Z \subset \bar{X}} k(Z)^\times & \longrightarrow & Z_0(X) \oplus \bigoplus_{Z \subset \bar{X}} k(Z)_\infty^\times & \longrightarrow & W(X) & \longrightarrow & 0 \\ & & & & \downarrow & & \\ & & & & W(X)/\hat{F}^{(D)}W(X, \bar{X}) & & \end{array}$$

The composite of the two vertical arrows on the right is a canonical homomorphism

$$\text{CH}_0(X, \bar{X}|D) \longrightarrow W(X)/\hat{F}^{(D)}W(X, \bar{X}).$$

Injectivity follows from the definitions. Surjectivity follows from the weak approximation theorem.

**2.4. Lemma.** — *Let  $(X, \bar{X})$  be a compactified pair and  $f : \bar{X}' \rightarrow \bar{X}$  a morphism with  $f(\bar{X}') \cap X \neq \emptyset$ . Let  $(X', \bar{X}')$  be the compactified pair obtained as the inverse image of  $(X, \bar{X})$  along  $f$ , so that  $X' = X \times_{\bar{X}} \bar{X}'$ . For each  $D \in \text{Div}^+(\bar{X})$  with  $|D| \subset \bar{X} - X$ , the direct image homomorphism*

$$f_*^W : W(X') \rightarrow W(X)$$

*induces a homomorphism*

$$f_*^D : \text{CH}_0(X', \bar{X}'|f^*D) \longrightarrow \text{CH}_0(X, \bar{X}|D).$$

*Proof.* — One checks that

$$f^W(\hat{F}^{(f^*D)}W(X', \bar{X}')) \subset \hat{F}^{(D)}W(X, \bar{X}).$$

Indeed the modulus condition on these subgroups can be checked for all curves  $Z \subset \bar{X}$  and for all points  $y \in k(Z)_\infty$ , so this follows from the classical theory of local fields.

**2.5. Lemma.** — *With the notation of the previous lemma, there is a canonical morphism of topological abelian groups*

$$f_* : \text{CH}_0^c(X', \bar{X}') \rightarrow \text{CH}_0^c(X, \bar{X}).$$

*Proof.* — The direct image homomorphisms  $f_*^D$  of 2.4 induce a morphism of topological abelian groups

$$\varprojlim_{\mathbf{D}} \text{CH}_0(X', \bar{X}' | f^*D) \longrightarrow \varprojlim_{\mathbf{D}} \text{CH}_0(X, \bar{X} | D)$$

where the projective limit is taken over divisors  $D \in \text{Div}^+(\bar{X})$  with  $|D| \subset \bar{X} - X$ . The right hand side is  $\text{CH}_0^c(X, \bar{X})$  by definition, while the left hand side is canonically identified with  $\text{CH}_0^c(X', \bar{X}')$ . Indeed it is sufficient to show that the codirected set of divisors  $f^*D$ , with  $D \in \text{Div}^+(\bar{X})$  and  $|D| \subset \bar{X} - X$ , is co-initial in the codirected set of divisors  $D' \in \text{Div}^+(\bar{X}')$  with  $|D'| \subset \bar{X}' - X'$ . This is easy to check.

**2.6. Proposition.** — *The topological abelian group  $\text{CH}_0^c(X, \bar{X})$  is independent of  $\bar{X}$ . In particular it is covariantly functorial in  $X$ .*

*Proof.* —

Let  $(X, \bar{X}')$  be a second compactified pair. Suppose that there is a morphism  $f : \bar{X}' \rightarrow \bar{X}$  which fixes  $X$  in the sense that the square

$$\begin{array}{ccc} X & \hookrightarrow & \bar{X}' \\ \downarrow & & \downarrow f \\ X & \hookrightarrow & \bar{X} \end{array}$$

is cartesian. It is sufficient to show that the direct image morphism  $f_*$  of 2.5 is an isomorphism. For each  $D$  we have a commutative diagram of abelian groups with exact rows

$$\begin{array}{ccccccc} \bigoplus_{Z' \subset \bar{X}'} k(Z')_{f^*D}^\times & \xrightarrow{\varphi'} & Z_0(X) & \longrightarrow & \text{CH}_0(X, \bar{X}' | f^*D) & \longrightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow f_* & & \\ \bigoplus_{Z \subset \bar{X}} k(Z)_D^\times & \xrightarrow{\varphi} & Z_0(X) & \longrightarrow & \text{CH}_0(X, \bar{X} | D) & \longrightarrow & 0. \end{array}$$

By the assumption on  $f$ , one sees that  $\varphi$  and  $\varphi'$  have the same image in  $Z_0(X)$ . Indeed by definition,  $\varphi$  (resp.  $\varphi'$ ) depends only on the restriction of a rational function on  $Z \subset \bar{X}$  (resp.

$Z \subset \bar{X}'$ ) to  $Z \cap X$ . It follows that the right hand morphism is invertible.

In general, we may consider the third compactified pair  $(X, \bar{Y})$ , where  $\bar{Y}$  is the normalization of the Zariski closure of  $X$  in  $\bar{X} \times \bar{X}'$ . Then there are canonical morphisms  $\bar{Y} \rightarrow \bar{X}$  and  $\bar{Y} \rightarrow \bar{X}'$ , both fixing  $X$ . Hence the above argument shows that  $(X, \bar{X})$  and  $(X, \bar{X}')$  have the same Chow group with modulus as  $(X, \bar{Y})$ .

**2.7. Remark.** — In the sequel we will abuse notation and write

$$\mathrm{CH}_0^c(X) := \mathrm{CH}_0^c(X, \bar{X})$$

for some choice of compactification.

### § 3. THE RECIPROCITY MAP

We define the reciprocity map on the Chow group with modulus with values in the abelianized fundamental group.

**3.1. Proposition.** — *Let  $X$  be a smooth variety over a finite field  $k$ . There exists a unique morphism of topological abelian groups*

$$\rho_X : \mathrm{CH}_0^c(X) \longrightarrow \pi_1^{\mathrm{ab}}(X)$$

with values in the abelianized fundamental group of  $X$ , such that the diagram

$$\begin{array}{ccc} Z_0(X) & \xrightarrow{\varphi} & \pi_1^{\mathrm{ab}}(X) \\ \downarrow & \nearrow \rho_X & \\ \mathrm{CH}_0^c(X) & & \end{array}$$

commutes, where  $\varphi$  is induced by the Frobenius homomorphisms

$$Z_0(x) \longrightarrow \pi_1^{\mathrm{ab}}(X)$$

for closed points  $x \in X$ .

*Proof.* —

Recall that we have the Wiesend reciprocity map

$$r_X : W(X) \longrightarrow \pi_1^{\mathrm{ab}}(X).$$

It is sufficient to show that it factors through

$$\begin{array}{ccc} W(X) & \xrightarrow{r_X} & \pi_1^{\text{ab}}(X) \\ \downarrow & \nearrow \rho_X & \\ \text{CH}_0^c(X) & & \end{array}$$

By Pontryagin duality, it is equivalent to show that  $r_X^\vee$  factors through

$$\begin{array}{ccc} H^1(X) & \dashrightarrow & \text{CH}_0^c(X)^\vee \\ & \searrow r_X^\vee & \downarrow \\ & & W(X)^\vee \end{array}$$

where we write  $(-)^\vee$  for the Pontryagin duality functor. Indeed recall that there is a canonical identification  $\pi_1^{\text{ab}}(X)^\vee = H^1(X) := H_{\text{et}}^1(X, \mathbf{Q}/b\mathbf{Z})$ . Now it is sufficient to show that for all characters  $\chi \in H^1(X)$ , the morphism  $r_X^\vee(\chi) : W(X) \rightarrow \mathbf{Q}/\mathbf{Z}$  factors through  $\text{CH}_0^c(X)$ .

$$\begin{array}{ccc} W(X) & \xrightarrow{r_X^\vee(\chi)} & \mathbf{Q}/\mathbf{Z} \\ \downarrow & \nearrow & \\ \text{CH}_0^c(X) & & \end{array}$$

For this it is sufficient to show that for all  $\chi$ , and for some compactification  $X \hookrightarrow \bar{X}$ , there exists some effective Cartier divisor  $D \in \text{Div}^+(\bar{X})$  with support contained in  $\bar{X} - X$ , such that there is a factorization

$$\begin{array}{ccc} W(X) & \xrightarrow{r_X^\vee(\chi)} & \mathbf{Q}/\mathbf{Z} \\ \downarrow & \nearrow & \\ \text{CH}_0(X, \bar{X}|D) & & \end{array}$$

commutes. Indeed by definition the projection  $W(X) \rightarrow \text{CH}_0(X, \bar{X}|D)$  factors through  $\text{CH}_0^c(X)$ .

Now recall that by (kerz2013chow, Proposition 2.9), for any  $\chi \in H^1(X)$  there exists some such  $D$  with  $\chi \in \text{fil}_D H^1(X)$ . It is sufficient to show that  $r_X^\vee(\chi)$  sends  $\hat{F}^{(D)} W(X, \bar{X})$  to zero. That is, for all  $Z \subset \bar{X}$ ,  $f \in k(Z)_\infty^\times$  with  $f$  satisfying the modulus condition with respect to  $D$ , we want  $r_X^\vee(\chi)(f) = 0$ . Note that  $f$  satisfying the modulus condition with respect to  $D$  is equivalent to  $f_y \in U_{k(Z)_y}^{(m)} \subset k(Z)_y^\times$  for all  $y \in Z_\infty$  and all  $Z \subset \bar{X}$  (using the notation of (serre1962corps, chap. XV)).

Recall that by classical class field theory (serre1962corps, chap. XV, § 2) we have a

commutative square

$$\begin{array}{ccc} k(\mathbf{Z})_y^\times & \longrightarrow & \mathrm{Gal}^{\mathrm{ab}}(k(\mathbf{Z})_y) \\ \downarrow & & \downarrow \\ k(\mathbf{Z})_y^\times / \mathrm{U}_{k(\mathbf{Z})_y}^{(m)} & \longrightarrow & \mathrm{Gal}^{\mathrm{ab}}(k(\mathbf{Z})_y) / \mathrm{G}_y^{(m)} \end{array}$$

where  $\mathrm{G}_y^{(m)}$  denotes the ramification filtration as in loc. cit. Dualizing we get a commutative square

$$\begin{array}{ccc} \mathrm{fil}_{m_y} \mathrm{H}^1(k(\mathbf{Z})_y) & \longrightarrow & (k(\mathbf{Z})_y^\times / \mathrm{U}_{k(\mathbf{Z})_y}^{(m)})^\vee \\ \downarrow & & \downarrow \\ \mathrm{H}^1(k(\mathbf{Z})_y) & \longrightarrow & (k(\mathbf{Z})_y^\times)^\vee \end{array}$$

Since  $\chi \in \mathrm{fil}_{\mathbb{D}} \mathrm{H}^1(\mathbf{X})$ , we have  $\chi|_{k(\mathbf{Z})_y} \in \mathrm{fil}_{m_y} \mathrm{H}^1(k(\mathbf{Z})_y)$  for all  $y$  and  $\mathbf{Z}$  by definition. Hence  $r_{\mathbf{X}}^\vee(\chi|_{k(\mathbf{Z})_y}) : k(\mathbf{Z})_y^\times \rightarrow \mathbf{Q}/\mathbf{Z}$  factors through  $k(\mathbf{Z})_y^\times / \mathrm{U}_{k(\mathbf{Z})_y}^{(m)}$ . The claim follows.

**3.2. Theorem.** — *Let  $\mathbf{X}$  be a smooth variety over a finite field  $k$  with  $\mathrm{char}(k) \neq 2$ . Let  $\psi_{\mathbf{X}} : \mathbf{X} \rightarrow \mathrm{Spec}(k)$  denote the structural morphism and let  $\mathrm{CH}_0^c(\mathbf{X})^0 \subset \mathrm{CH}_0^c(\mathbf{X})$  denote the kernel of  $\psi_{\mathbf{X},*}$ . Similarly let  $\pi_1^{\mathrm{ab}}(\mathbf{X})^0 \subset \pi_1^{\mathrm{ab}}(\mathbf{X})$  denote the kernel of  $\psi_{\mathbf{X},*} : \pi_1^{\mathrm{ab}}(\mathbf{X}) \rightarrow \pi_1^{\mathrm{ab}}(\mathrm{Spec}(k))$ . The induced morphism*

$$\rho_{\mathbf{X}}^0 : \mathrm{CH}_0^c(\mathbf{X})^0 \longrightarrow \pi_1^{\mathrm{ab}}(\mathbf{X})^0$$

*is an isomorphism of topological abelian groups.*

## REFERENCES

**kerz2013chow** Kerz, Moritz and Saito, Shuji. *Chow group of 0-cycles with modulus and higher dimensional class field theory.*

**serre1962corps** Serre, Jean-Pierre. *Corps locaux.*